

# More on Compact Spaces

## 1 Products of compact spaces, junior grade

In HW you proved the following “tube lemma”:

**Lemma 1.1** *Suppose  $\mathcal{U}$  is an open cover of  $X \times Y$  where  $Y$  is compact. Let  $x \in X$ . Then there is an open neighborhood  $G(x)$  of  $x$  and a finite subcollection  $\mathcal{U}(x) \subseteq \mathcal{U}$  such that  $\mathcal{U}(x)$  covers  $G(x) \times Y$ .*

**Theorem 1.2** *Suppose  $X$  and  $Y$  are compact spaces. Then so is  $X \times Y$ .*

Proof: Let  $\mathcal{U}$  be any open cover of  $X \times Y$ . For each  $x \in X$  find an open set  $G(x)$  that contains  $x$  and a finite  $\mathcal{U}(x) \subseteq \mathcal{U}$  that covers  $G(x) \times Y$ . Then  $\{G(x) : x \in X\}$  is an open cover of  $X$ , so we may choose  $x_i \in X$  with  $1 \leq i \leq n$  such that  $X = \bigcup \{G(x_i) : 1 \leq i \leq n\}$ . Letting  $\mathcal{U}_0 = \bigcup \{\mathcal{U}(x_i) : 1 \leq i \leq n\}$ , we obtain a finite subcollection of  $\mathcal{U}$ .

To show that  $\mathcal{U}_0$  covers  $X \times Y$ , let  $(x, y) \in X \times Y$ . Find  $i$  with  $x \in G(x_i)$ . Then  $(x, y) \in G(x_i) \times Y \subseteq \bigcup \mathcal{U}(x_i) \subseteq \bigcup \mathcal{U}_0$ .  $\square$

## 2 The Baire Category Theorem

We have already seen the Baire Category Theorem for complete metric spaces. One version asserts that if  $G_1, G_2, \dots$  are dense open sets in a complete metric space  $X$ , then  $\bigcap \{G_n : n \geq 1\}$  is a dense subset of  $X$ . That result also holds in any compact Hausdorff space.

**Theorem 2.1** (*Baire Category Theorem*) *If  $G_1, G_2, \dots$  is a sequence of dense open subsets of a compact Hausdorff space  $X$ , then  $\bigcap \{G_n : n \geq 1\}$  is dense in  $X$ .*

Proof: Let  $U$  be any non-empty open set. We will show that some point of  $U$  lies in each set  $G_n$ .

We know that  $U \cap G_1 \neq \emptyset$  because  $G_1$  is dense. Choose  $x_1 \in U \cap G_1$  and then choose an open set  $V_1$  with  $x_1 \in V_1 \subseteq \text{cl}(V_1) \subseteq U \cap G_1$ . This is possible because  $X$  is regular and  $U \cap G_1$  is open.

Given  $V_1$ , we know that  $V_1 \cap G_2 \neq \emptyset$ , because  $G_2$  is dense. Choose  $x_2 \in V_1 \cap G_2$  and then find an open set  $V_2$  with  $x_2 \in V_2 \subseteq \text{cl}(V_2) \subseteq V_1 \cap G_2$ .

In general, given a nonempty open  $V_n$ , note that the set  $V_n \cap G_{n+1} \neq \emptyset$  because  $G_{n+1}$  is dense in  $X$ . Choose  $x_{n+1} \in V_n \cap G_{n+1}$  and then find an open set  $V_{n+1}$  with

$$x_{n+1} \in V_{n+1} \subseteq \text{cl}(V_{n+1}) \subseteq V_n \cap G_{n+1}.$$

Then the sets  $K_n = \text{cl}(V_n)$  form a decreasing sequence of non-empty compact sets in the Hausdorff space  $X$ , so from a HW problem we know that there is some point  $y \in \bigcap \{K_n : n \geq 1\}$ . Then  $y \in K_1 = \text{cl}(V_1) \subseteq U$  and for each  $n$  we have  $y \in K_n = \text{cl}(V_n) \subseteq G_n$ . Hence  $U$  meets the set  $\bigcap \{G_n : n \geq 1\}$ , as required.  $\square$

**Corollary 2.2** *The Baire Category Theorem holds in any locally compact Hausdorff space.*

Proof: Let  $X$  be a locally compact Hausdorff space. If  $X$  is actually compact, then apply the previous theorem. If  $X$  is locally compact but not compact, then find a compact Hausdorff space  $X^*$  that contains  $X$  as a dense open subspace. Let  $\langle G_n \rangle$  be any sequence of dense relatively open subsets of  $X$ . Then each  $G_n$  is open and dense in  $X^*$ , so the intersection of all sets  $G_n$  must be dense in  $X^*$ , in the light of the previous theorem. But that intersection is a subset of  $X$ , so it must be dense in  $X$ .  $\square$